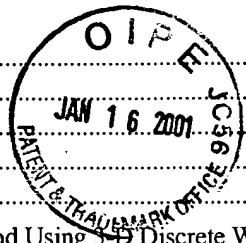


Section #4

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No 09/599,160
Filing Date 6/21/2000
Inventorship Zhang et al.
Applicant Microsoft Corporation
Attorney's Docket No. MS1-554US
Title: Video Coding System and Method Using 3-D Discrete Wavelet Transforms and Entropy Coding
With Motion Information



TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: Lewis C. Lee (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
421 W. Riverside Avenue, Suite 500
Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Notice to File Missing Parts of Application
3. Executed Declaration
4. Fee Transmittal
5. Return Post Card

Large Entity Status [x] Small Entity Status []

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 1/10/2001

By:
Lewis C. Lee
Reg. No. 34,656

01/19/2001 2001 00000074 120769 09599160
01 FC:116 390.00 CH

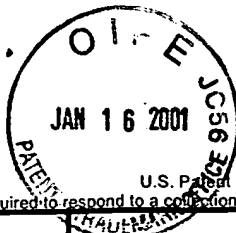
CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) _____

Date: 1/10/01

By:
Dana L. Calhoun



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/599,160
Filing Date	6/21/00
First Named Inventor	Xu
Examiner Name	
Group Art Unit	
Attorney Docket No.	MSI-554US

TOTAL AMOUNT OF PAYMENT

(\$2906⁰⁰)

METHOD OF PAYMENT 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes PLLC <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	FEE CALCULATION (continued) 3. ADDITIONAL FEES <table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130 205 65</td><td></td><td>Surcharge - late filing fee or oath</td><td>130</td></tr><tr><td>127 50 227 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130 139 130</td><td></td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520 147 2,520</td><td></td><td>For filing a request for ex parte reexamination</td><td></td></tr><tr><td>112 920* 112 920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840* 113 1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110 215 55</td><td></td><td>Extension for reply within first month</td><td>390</td></tr><tr><td>116 390 216 195</td><td></td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 890 217 445</td><td></td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,390 218 695</td><td></td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,890 228 945</td><td></td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 310 219 155</td><td></td><td>Notice of Appeal</td><td></td></tr><tr><td>120 310 220 155</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 270 221 135</td><td></td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510 138 1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110 240 55</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,240 241 620</td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,240 242 620</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 440 243 220</td><td></td><td>Design issue fee</td><td></td></tr><tr><td>144 600 244 300</td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>122 130 122 130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50 123 50</td><td></td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>126 180 126 180</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40 581 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 710 246 355</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 710 249 355</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179 710 279 355</td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169 900 169 900</td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="3">SUBTOTAL (3)</td><td>(\$520⁰⁰)</td></tr></tbody></table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130 205 65		Surcharge - late filing fee or oath	130	127 50 227 25		Surcharge - late provisional filing fee or cover sheet		139 130 139 130		Non-English specification		147 2,520 147 2,520		For filing a request for ex parte reexamination		112 920* 112 920*		Requesting publication of SIR prior to Examiner action		113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action		115 110 215 55		Extension for reply within first month	390	116 390 216 195		Extension for reply within second month		117 890 217 445		Extension for reply within third month		118 1,390 218 695		Extension for reply within fourth month		128 1,890 228 945		Extension for reply within fifth month		119 310 219 155		Notice of Appeal		120 310 220 155		Filing a brief in support of an appeal		121 270 221 135		Request for oral hearing		138 1,510 138 1,510		Petition to institute a public use proceeding		140 110 240 55		Petition to revive - unavoidable		141 1,240 241 620		Petition to revive - unintentional		142 1,240 242 620		Utility issue fee (or reissue)		143 440 243 220		Design issue fee		144 600 244 300		Plant issue fee		122 130 122 130		Petitions to the Commissioner		123 50 123 50		Processing fee under 37 CFR 1.17(q)		126 180 126 180		Submission of Information Disclosure Stmt		581 40 581 40		Recording each patent assignment per property (times number of properties)		146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(a))		149 710 249 355		For each additional invention to be examined (37 CFR § 1.129(b))		179 710 279 355		Request for Continued Examination (RCE)		169 900 169 900		Request for expedited examination of a design application		Other fee (specify) _____				SUBTOTAL (3)			(\$520 ⁰⁰)
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SUBMITTED BYName (Print/Type) Lewis C Lee
Signature [Signature]Registration No. 34,656
(Attorney/Agent)**Complete (if applicable)**Telephone (509) 324-9256
Date 1/10/2001**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/599,160	06/21/2000	Jizheng Xu	MSI-1554US

Lewis C Lee
Lee & Hayes PLLC
Suite 500
421 W Riverside Avenue
Spokane, WA 99201



FORMALITIES LETTER



OC000000005390389

Date Mailed: 09/11/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1662.
 - \$1116 for 62 total claims over 20.
 - \$546 for 7 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2482.

*A copy of this notice **MUST** be returned with the reply.*

Leandine Abner

Customer Service Center
Initial Patent Examination Division (703) 308-1202

09599160

00000074 120769

01/12/2001 HND01

710.00 CH
130.00 CH
560.00 CH
1116.00 CH

03 FC:101
03 FC:105
03 FC:102
03 FC:103

9/11/00

PART 2 - COPY TO BE RETURNED WITH RESPONSE

